

Serial Number: 09/713,136**ENTERED**CRF Processing Date: 11/29/2020Edited by: 10Verified by: 10

(STIC staff)

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically:
- 
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other \_\_\_\_\_
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: \_\_\_\_\_
- 
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: \_\_\_\_\_
- 
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: \_\_\_\_\_
- 
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: \_\_\_\_\_
- 
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: \_\_\_\_\_
- 
- ☒ Deleted: ☒ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as \_\_\_\_\_
- ☐ Inserted mandatory headings, specifically: \_\_\_\_\_
- ☐ Corrected an obvious error in the response, specifically: \_\_\_\_\_
- 
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: \_\_\_\_\_
- 
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- 

Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

OIPE

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/713,136

DATE: 11/29/2000  
TIME: 09:17:25

Input Set : A:\37788-20015.00-Seq.txt  
Output Set: N:\CRF3\11292000\I713136.raw

*Does Not Comply  
Corrected Diskette Needed*

4 <110> APPLICANT: Tuck, Stephen  
5 Van Nest, Gary  
7 <120> TITLE OF INVENTION: Immunomodulatory Compositions Containing  
8 An Immunostimulatory Sequence Linked To Antigen And Methods  
9 Of Use Thereof  
11 <130> FILE REFERENCE: 377882001500  
C--> 13 <140> CURRENT APPLICATION NUMBER: US/09/713,136  
C--> 14 <141> CURRENT FILING DATE: 2000-11-14  
16 <150> PRIOR APPLICATION NUMBER: 60/165,467  
17 <151> PRIOR FILING DATE: 1999-11-15  
19 <160> NUMBER OF SEQ ID NOS: 11  
21 <170> SOFTWARE: FastSEQ for Windows Version 4.0

## ERRORRED SEQUENCES

155 <210> SEQ ID NO: 11  
156 <211> LENGTH: 8  
157 <212> TYPE: PRT  
158 <213> ORGANISM: Artificial Sequence  
160 <220> FEATURE:  
161 <223> OTHER INFORMATION: synthetic construct  
164 <400> SEQUENCE: 11  
165 Ser Ile Ile Asn Phe Glu Lys Leu  
166 1 5  
E--> 171 (1)

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/713,136

DATE: 11/29/2000

TIME: 09:17:26

Input Set : A:\37788-20015.00-Seq.txt

Output Set: N:\CRF3\11292000\I713136.raw

L:13 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:97 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6  
L:113 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7  
L:129 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8  
L:171 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:11